



# IMPORTANT INFORMATION FOR THE CLIENTS

## DO's

1. Deal only through registered broker/TREC holder of exchange with valid license issued by SECP.
2. Verify the authenticity of a Broker and its branches from the list of registered brokers from the following websites, For PSX: [www.secp.gov.pk](http://www.secp.gov.pk) > Licensing > SecuritiesBrokers  
For PMEX: [www.pmax.co](http://www.pmax.co) > pmax-broker
3. Read & properly understand the terms, conditions and risk associated with investing in securities, commodities and currencies futures before investing.
4. Assess the risk-return profile of the investment as well as the liquidity & safety aspects before investing.
5. Ensure that all information is accurately filled in the Client Relationship Form and a signed copy of the form is retained for future reference.
6. In case of Discretionary Trading Authority given to Broker, ensure thorough review of the Discretionary trading guide lines available on the website of PSX and PMEX.
7. Ask your broker to activate your login credentials.
8. Only make payments through banking Channel (online banking, cross cheque, pay orders etc) in the name of broker and obtain receipts.
9. Verify the payments are allocated in your trading account maintained by the broker.
10. Ensure that broker sends daily, weekly, monthly account balance and activity statements to know the trade activity and cash balance in the trading account.
11. Ensure that broker sends SMS alerts for the trades and cash movement in the trading account.
12. Approach PSX, PMEX or SECP complaint form in case of any complaint that remains unresolved by broker.

[www.abbasiandcompany.com](http://www.abbasiandcompany.com)>InvestorsComplaints

## DON'TS

1. Do not deal with Broker or their branches not registered with PSX and PMEX.
2. Do not give wrong, contradictory or incomplete information in the Customer Relationship Form.
3. Do not issue cross cheque, pay order, demand draft in the name of any authorized representative of the broker.
4. Do not deal in cash with any broker or their authorized representatives.
5. Funds deposited through cash or any bank account other than the account included in client's profile strictly prohibited. In case of such deposits, reversal may take substantial time.
6. The funds allocation request (through online transfer and cleared cheques) received during banking hours will be executed on the same day. The request received after banking hours will be executed on the next working day.
7. Do not get misled by alluring advertisement, rumors, hot tips or promises of assured returns by the brokers or their authorized representatives.
8. Do not give discretionary authority to brokers to make 'Sale' and 'purchase decision without understanding the associated risks.
9. Do not share User ID and Password provided by the exchange or broker with any authorized representative of the broker.
10. Do not surrender the right of receiving cash and trade balances reports via email or SMS.
11. Do not start trading before reading and understanding the Risk Disclosure Documents provided by the exchange.

**FOR ANY QUERY FEEL FREE TO CONTACT:**

Contact Numbers: 042-38302028 ext. 141,143

Text Message Support Number: 0309-4447430

Email: [Support@abbasiandcompany.com](mailto:Support@abbasiandcompany.com)